

Australian Energy Market Operator

COMPLIANCE ISSUES FOR DECISION

DATE: 13 September 2017

RESPONSIBILITY: Group Manager Market Management

COMPLIANCE ISSUES SUMMARY TABLE:

Breach	Description	Recommendation
<p>Retail Market Procedures clauses 103(1) and 269(1) by AEMO for gas days 10/11/2016, 12/07/2017 and 19/07/2017</p>	<p>The OMP-STATUS and TRF-CONF-NOTF reports were delayed on 09/11/2016, 11/07/2017 and 18/07/2017:</p> <ul style="list-style-type: none"> On 09/11/2016, AEMO scheduled a Disaster Recover Test between 2pm and 6pm WST. The OMP-STATUS reports (“first check”) for gas day 10/11/2017 were delivered to participants at 4.40pm WST, 40 minutes late, when the system was returned to operation. On 11/07/2017, AEMO carried out a database maintenance on the WA Gas Retail Market System (“GRMS”) between 4.15pm and 5.52pm AEST. The temporary database file did not come back online at the end of the database maintenance activity. As a result, the OMP-STATUS (“final check”) and TRF-CONF-NOTF reports for gas day 12/07/2017 were delayed by 16 hours and 21 minutes and by 10 hours and 11 minutes respectively. On 18/07/2017, the OMP-STATUS (“first check”) reports were delayed by 24 minutes due to a period of connectivity issues. <p>These appear to be a breach of clauses 103(1) and 269(1) of the Procedures by AEMO.</p>	<p>Submissions were called for, and the submission window closed on 12/09/2017.</p> <p>No submissions were received.</p> <p>After having regard to the other matters in clause 329(1) of the WA Retail Market Procedures (“Procedures”), AEMO has determined that the breach was not material and resolved. AEMO to take no further action in relation to this matter.</p>

COMPLIANCE ISSUES:

1. Procedures breaches by AEMO:

Retail Market Procedures clauses 103(1) and 269(1) by AEMO for gas days 10/11/2016, 12/07/2017 and 19/07/2017

Description	See the description above.
Action taken	AEMO has taken the following actions: <ul style="list-style-type: none">• On 09/11/2016, the OMP-STATUS reports were generated when the system was returned to operation after the completion of the Disaster Recover Test.• On 11/07/2017, AEMO restarted the database. The OMP-STATUS and TRF-CONF-NOTF reports were delivered to the participants and transactions were processed successfully.• On 18/07/2017, AEMO restarted the application services and the OMP-STATUS reports were delivered to participants.
Impact	The delivery of the below market reports were delayed: For gas day 10/11/2017- <ul style="list-style-type: none">• 14 OMP-STATUS (“first-check”) reports were delayed by 40 minutes For gas day 12/07/2017- <ul style="list-style-type: none">• 12 OMP-STATUS (“final check”) reports were delayed by 16 hours 21minutes• 225 TRF-CONF-NOTF reports were delayed by 10 hours 11 minutes For gas day 19/07/2017 <ul style="list-style-type: none">• 15 OMP-STATUS (“first check) reports were delayed by 24 minutes
Decision	After having regard to the matters in clause 329(1) of the Procedures, AEMO has determined that the breach was not material and resolved. AEMO to take no further action in relation to this matter.